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1 Introduction

Our classical notion of nature and computing consists of things happening in a deterministic manner; two plus two is always four. But what if it is mostly four, but once in a while it is three, or maybe five? Regular computation works on bits, which takes the value of either 0 or 1. Two bits are then given as input to a small physical device, a *gate*, which outputs another bit whose value depends on the value of the two input bits. This is always deterministic and can thus be expressed in a *truth table*, such as in Figure 1. However, with the advent of quantum theory, our understanding of the universe in general went from it being deterministic to it being probabilistic; shaped by probability functions. It wasn't until the 1980's that this model of the physical world carried into the world of computation with the field of *quantum informatics*. In this worldview we do not have bits but *qubits*, which take a value of 0 with some probability and a value of 1 with some other probability. Quantum gates then take a list of qubits as input and return a list of qubits as output. This has led to a completely different way of thinking as the probabilities of the different qubits can be entangled with each other through the quantum gates. This leads to a quite different model of computation, and it turns out that there are certain problems that can be solved efficiently on a quantum computer, which currently take a very long time to solve on a classical computer. Even so, it has not been proven whether the quantum computer is inherently more powerful than the classical one, or if we simply have had an easier time coming up with algorithms in the quantum model, which have yet to be discovered counterparts in the classical model.

L	R	O
0	0	0
0	1	0
1	0	0
1	1	1

Figure 1: Truth table for a classical AND gate.

One might think that the problems we *know* how to solve efficiently on a quantum computer, but don't *know* how to do efficiently on a classical one, are only of theoretical interest. Unfortunately, that is not the case. Many of these problems are directly linked to the problems whose hardness we rely on for security in many cryptographic schemes. In particular, this means that there are schemes, for example RSA or ElGamal, that can be broken quickly on a quantum computer.

Fortunately, quantum computers are currently only able to handle a two-digit amount of qubits and so keys of hundreds or thousands of bits are still safe. However, research moves quickly, so it is likely that it won't be too long before the schemes we rely on today fall victim to the quantum computer.

1.1 Quantum Attacks

The area of cryptography which has suffered the most under the quantum computer is asymmetric cryptography, such as RSA and ElGamal, along with Diffie-Hellman key exchange (both standard and the elliptic curve approach). Still, symmetric key cryptography and hash functions are not free from issues with quantum computers in the world. Two algorithms are to blame for classical cryptography's problems. These are Shor's algorithm [47] and Grover's algorithm [22].

Shor's algorithm allows a quantum computer to solve the discrete algorithm *and* the factorization problem efficiently. Thus, popular schemes such as RSA, DSA, ElGamal, and Diffie-Hellman key exchange become broken as soon as quantum computers are able to handle a few thousand qubits.

Grover's algorithm on the other hand, is very general and works in many settings. Basically, it greatly

reduces the time it takes to do a brute-force search. For symmetric cryptography it concretely means that we must double key lengths to keep our current level of security in the post-quantum world. Thus, if we are currently satisfied with the security offered by a κ bit key against a classical computer, then we will get similar security against a quantum computer if we use a 2κ bit key. However, it should be noted that Grover's algorithm cannot be parallelized (unlike a classical brute-force search) so that each iteration of Grover's algorithm *must* finish before the next iteration can start.

It is also worth noting that there is an area of cryptography where we are *guaranteed* that quantum computers won't have any advantage. That is the area of *information theoretic cryptography*, such as the one-time-pad.

1.2 Post-Quantum Cryptography

The academic (and even commercial space[1]) has not taken the threat of quantum computers lightly, and a lot of work has been carried out to develop schemes conjectured to be secure against attacks of quantum computers. Most of these schemes are based on lattices. However, some schemes have also been made based on traditional hash functions, multivariate quadratic equations and isogeny of elliptic curves.

Furthermore, even government agencies are starting to take the quantum threat seriously. In particular standardization work is actively being carried out by NIST for post-quantum algorithms[2] and we strongly recommend taking this standardization work into account when selecting post-quantum schemes. The third and final elimination round was carried out during the spring/summer of 2020, and will be followed by draft standards in 2022.

NIST is not the only standardization organization looking into post-quantum cryptography. ISO also has a couple of liaisons in the European post-quantum project PQCRYPTO[3], although no direct ISO standardization process has started yet. Furthermore, the European Telecommunication Standards Institute (ETSI)[4] has released a surveying different post-quantum schemes that they deem *may* be suitable for standardization [20] and have several working groups looking at post-quantum security.

1.3 Quantum Cryptography

The special properties of the quantum computer is not only a curse for cryptographers, but also a blessing. The unique quantum-based model has led to the development of some interesting cryptographic schemes with features, such as tamper detections, which are not normally possible to achieve to the same extent in the classical setting. This field is known as *quantum cryptography* and thus distinguishes itself from post-quantum cryptography by requiring quantum mechanics in the schemes themselves, whereas post-quantum cryptography runs on classical computers but tries to thwart attacks made by quantum computers.

1.4 Outline

In the following sections we go through the different cryptographic primitives in use today in the commercial setting, and discuss how they can be made post-quantum secure or what the best post-quantum secure alternatives are. We do this in two sections; one considering *symmetric* cryptography and another considering *asymmetric* cryptography. The symmetric cryptography section looks into what we can do under the assumption that *one-way* functions exist. That is, we assume there exist functions which are easy to compute, but hard to inverse. This is sufficient to cover symmetric encryptions, Message Authentication Codes (MACs) and hash functions. The asymmetric cryptography section adds the assumption that *trapdoor* one-way functions exist (based on number theoretic assumptions). This means that we assume it is possible to construct a one-way function with an auxiliary piece of information, the trapdoor, making it possible to inverse the function. This section covers public key encryption, signature schemes and key exchange.

[1] See, for example www.ntru.com.
[2] https://csrc.nist.gov/projects/post-quantum-cryptography
[3] https://www.iso.org/organization/5984715.html
[4] https://www.etsi.org/technologies/quantum-safe-cryptography

2 Glossary

Assumption: In the cryptographic sense, an assumption is a mathematical problem, or property, believed to hold, but which has not been formally proven to hold. We wish assumptions to be as weak as possible (meaning that they are easy to believe and have a lot of credence).

CCA: Chosen Ciphertext Attack. A standard and strong definition of security of public key encryption.

Forward Secrecy: The compromise of a long-term secret key does not compromise previous sessions where this key was used.

LWE: The Learning With Errors. A problem which many lattice-based constructions reduce to. The problem is conjectured to be hard to solve using a quantum computer.

Side-channel attack: An attack of a cryptographic scheme based on observing the execution of an implementation, rather than by trying to break it based on weaknesses in the scheme itself.

Timing attack: An specific type of side-channel attack leveraging that the execution of a certain operation depends on the value of the (secret) input to this operation.

3 Symmetric Cryptography

3.1 Symmetric Key Encryption

Both block ciphers and stream ciphers are affected by Grover's algorithm. Thus we recommend doubling the of key-size over what is acceptable to thwart classical attacks in order to gain conjectured security against quantum computers.

3.2 Hash Functions

If we consider the standard requirements of hash functions, i.e. hard to find a preimage, hard to find a second preimage and hard to find a collision, then we have the same case as for symmetric encryption schemes and must double the key length. There are, however, a few caveats. It has been shown that quantum attacks for breaking collision resistance can be improved slightly over Grover's algorithm. More concretely it is required to increase the digest size by an order of 2.5 [12], rather than 2 (as for the symmetric schemes). An older result shows that the increase must rather be a factor 3 [11]. However, this is illusionary as it assumes an unrealistically large quantum memory of the adversary. Still, because this is an active area of research, where improvements are continuously found, it might be a good idea to err on the side of caution and increase digest length by a factor 3 as a minimum.

3.3 MACs

A MAC is the secret key equivalent of a digital signature, meaning that the same key is used for both signing and verification. Such schemes can for example be based on block ciphers (CBC-MAC) or hash functions (HMAC). For such constructions we note that, in the worst case, one needs to increase key lengths by the same factor as their underlying primitives. We say at worst, since finding a collision on the underlying hash function does not necessarily mean that HMAC can be broken.

3.4 Conclusion

The recommendations in this section are first of all based on the currently best known quantum attacks and do not consider the stronger setting where the adversary holds some auxiliary quantum information it can use in its attack. In such attacks, where the adversary can query an oracle in some maliciously chosen quantum state, it turns out that many modes of operation for MAC schemes can be broken easily [27].

Furthermore, it turns out that there are problems, relevant to symmetric cryptography, that can be solved efficiently using a quantum computer [32]. However, it is not clear how to use these to perform general and efficient attacks on symmetric encryptions or hash functions. Still, in time they may be developed into algorithms giving significantly better attacks than simply brute-force.

If one is willing to assume that an adversary can access *classically* encrypted values or digests then everything suggests that increasing the security parameter with a constant factor will be sufficient to thwart attacks. Currently this factor is 2, except for finding hash collisions where it is 2.5.

4 Asymmetric Cryptography

Shor's algorithm [47] showed that using a quantum computer, one can easily factor a large integer into its prime components. He likewise showed that computing the discrete logarithm could be done efficiently as well. This result makes basically all public key encryption schemes, digital signature schemes and key exchange algorithms in use today vulnerable to quantum attacks, including but not limited to RSA, ElGamal, (EC)DSA, and Diffie-Hellman.

We note that many of the post-quantum schemes we suggest below will have "large" key sizes, by which we mean that they will be greater than the key size of the schemes in use today. As a concrete reference we note that RSA and ElGamal only use a few kilobytes for both public and private keys, along with ciphertexts. For schemes based on elliptic curves, it might be less than a single kilobyte.

Post-quantum Assumptions. There are several different types of assumptions which post-quantum cryptography can be based on. Not all of which will be used in schemes suggested here. Most systems we suggest in the following will be based on a mathematical structure known as lattice. It is the most pervasively used family of structures that post-quantum cryptography can be based on. However, there is not one clear lattice assumption that will fit everything, so here we go through a bit of background in regard to security and the assumptions lattice cryptography relies on.

At the very high level, a lattice is a mathematical group which is represented by a multi-dimensional grid. Thus an element in this group is a point in the lattice (grid). A lattice can thus be expressed as a a set of vectors of certain dimensions representing the base of this gird. More specifically, one might think of it as an integer matrix. Most schemes based on lattices rely on the hardness of (some variant of) the *Learning With Errors* (LWE) problem, which becomes increasingly hard when the lattice requires many basis vectors in high dimensions. This is a very interesting problem since it has been shown [46] to have a *worst case to average case reduction*. This means that any randomly picked instance of this problem is as hard to solve as the hardest instances of the problem. This is indeed a very positive feature to have in a cryptographic problem as it means that there are no bad random instances. Unfortunately, schemes based on LWE require large keys and are a bit slow. Work has therefore been carried out using other lattice assumptions. Some of these relate to LWE, in that the overall problem is the same, but with some different structures. This is for example the case for the Ring-LWE problem, which is based on a type of lattice known as ideal. These contain more structure than "standard" lattices and thus *may* open up for more attacks.

Lattices and assumptions "in between" the Ring-LWE and general LWE are based on Module-LWE. This is a generalization of Ring-LWE and places itself between Ring-LWE and general LWE and is thus more desirable than Ring-LWE. Finally, we have non-standard lattice assumptions such as the NTRU assumption. This assumption is tightly bound to specific schemes and does not have a reduction to a standard problem.

For convenience, we relate the assumptions by inequality on desirability: LWE $\succeq$ Modulo-LWE $\succeq$ Ring-LWE $\succeq$ NTRU. We note that equality in this hierarchy means that one thing might not *necessarily* be better than the other. Still, the hierarchy is based on a theoretical view of what we currently know. Thus LWE is more desirable than Modulo-LWE, but they are both more desirable than Ring-LWE and NTRU. It should come as no surprise that the more desirable the assumption, the slower the scheme, and the

larger key sizes are in play. Thus picking or designing a scheme often becomes a trade-off between *expected* security and efficiency.

Furthermore, one thing we must be particularly aware of when using lattices is the risk of *side-channel attacks*. These are attacks on the implementation of a scheme rather than the (theoretic) scheme itself. For lattices a specific kind of side-channel attacks known as *timing attacks* are of particular interest. These are attacks where the adversary tries to learn some secret value by observing how long *non-constant* operations of the program take. By non-constant time we mean that the time it takes to execute an operation depends on the secret value and its input.

This is particularly relevant in the case of schemes based on the LWE problem as they tend to require sampling of a normal distribution over the integers, and most algorithms completing this task don't run in constant time. However efficient algorithms for constant-time sampling do exist [39] but will be slower than their non-constant time counterparts. Still using constant time algorithms for this task might not be enough. It is crucial that the entire implemented scheme executes in constant time to avoid timing attacks. This was recently affirmed in a 2020 paper by Gou *et al.* [24], where the authors show that a handful of the NIST round 2 candidates for public key encryption were vulnerable to a timing attack, allowing recovery of the private decryption key. Although the attack is hard to carry out in practice, it does highlight the importance of constant time implementations and that even very well-constructed candidates can have issues. Finally we should note that side-channel attacks are usually hard to carry out in practice since they *generally* require the adversary to have either physical or root access to the system running a cryptographic operation using a secret key.

Finally, it should be noted that a recent paper claimed to have broken the quantum security of certain types of lattice assumptions [19]. The paper was, however, quickly retracted, as it contained a bug that did not seem possible to fix. Still, one of the authors was Peter Shor, who was behind the efficient quantum algorithms for solving factoring and discrete logarithm, so the fact that he is working, and making progress, in quantum algorithms for lattice problems should motivate one to use caution in regard to these systems.

4.1 Encryption

Several quantum secure alternatives exist which can replace public key encryption schemes such as RSA or ElGamal. The interesting alternatives can be classified into two different families: lattices and (error correction) codes. Which family, and which specific scheme, will be the best replacement depends highly on the setting of usage, as they all have their pros and cons.

4.1.1 McEliece

The McEliece scheme [37] is old, from well-before Shor's algorithm came along. However, it has started to receive new attention because of its conjectured quantum security. The overall idea of the McEliece scheme (and its follow-up works) is that a linear error correction code is used to encode the plaintext into a code word. Random errors are then added to this code word in such a way that only the party who knows a special trapdoor can remove these errors and decode the encoding. The scheme can be made CCA secure using simple conversions [31]. In fact, this must be done to avoid some attacks on the system. The schemes turn out to be particularly well-suited for computationally constrained devices, since the computations required by the scheme can be carried out using standard bit operations on small words. Unfortunately, the initial version of the scheme has rather large public keys. However, through the years the scheme has been optimized. Of these optimizations, the Niederreiter scheme [41] is of particular interest. This version manages to reduce the public key size to around 200 bytes (for reasonable security requirements). The Niederreiter scheme further has the advantage that it can be adapted into a signature scheme as well [13].

One should however be aware that because of the encoding/decoding procedures involved, it can easily become relatively inefficient to implement McEliece or Niederreiter without being vulnerable to timing attacks.

We note that other schemes based on McEliece exist, for example MDPC-McEliece [40] (which is recommended by ETSI [20]), along with other schemes based on other coding problems. These schemes

have different security assumptions which have not been studied thoroughly enough to be deemed recommendable by experts in the field [8] and thus we are not including these here.

Pros:

- Underlying scheme has resisted 40 years of scrutiny.
- Computationally efficient (implemented using binary matrix multiplication), in particular suitable for computationally constrained devices.
- CCA secure.
- Can be adapted to a signature scheme.

Cons:

- Large public keys (several hundred kilobytes).
- The underlying security assumption that the scheme is reduced to is not too well-understood.
- Hard to implement without timing attack vulnerabilities.
- Very slow key generation.

If using a code-based encryption scheme, then the Niederreiter system [41] seems the most promising. In particular we note that a CCA secure version of this scheme[5] is a round 3 NIST candidate and its underlying scheme [5] is also included in the list of potential standardization candidates by ETSI [20].

4.1.2 NTRU

An NTRU scheme first saw the light of day in 1996 and thus represents one of the oldest families of lattice-based crypto schemes. NTRU consists of two schemes; an encryption scheme, NTRUEncrypt, and a signature scheme, NTRUSign. The schemes started out patented and commercialized by the aptly named NTRU Cryptosystems, Inc. However, in 2017 the encryption scheme was made open source and is now in the public domain. NTRU is efficient and does not have large public keys, as is the problem of many other lattice- or code-based cryptosystems. However, the basic NTRU schemes lack a reduction to standard and well-studied problems. Still, a slight variant of NTRU was introduced by Stehlé and Steinfeld [48], which offers a security reduction to a specific variant of Ring-LWE.

Recently, a new variant of NTRU was introduced called NTRU Prime [6]. This scheme removes certain structures present in the NTRU system. Though these structures have not compromised the NTRU system, they have had an influence in compromising other lattice-based systems. The idea is that removing these will increase the security of the scheme. Even so, there is no reduction to a standard lattice problem. Still, the argument can be made that this is not an issue, as the NTRU scheme has been studied thoroughly for many years, close to the extent of the underlying problems other schemes reduce to.

Unfortunately, significant progress has recently been made in attacking the NTRU schemes. In particular, the standard NTRUSign scheme has been all but completely broken [18]. In regards to encryption, attacks exist for certain choices of parameters [2, 30], even against NTRU Prime in certain cases [30]. Furthermore, a significant side-channel attack based on power analysis of NTRU Prime was recently published [25] and imply that this scheme cannot be recommended. Finally we note that all these attacks cast doubt on the general security of the NTRU family and *requires* users to stay away from the standard NTRUSign scheme, recommend users to stay away from NTRU Prime and take extreme care when deciding on parameters for encryption.

Pros:

- Computationally *and* communicationally efficient.
- Constant time execution.
- CCA secure.

Cons:

[5] https://classic.mceliece.org/nist.html

- Plain NTRU has a lot of structures that *could* make it vulnerable.
- Efficient attacks exist on certain choices of parameters.
- No reduction to a “standard” lattice problem.

The only NTRU based scheme that made it to the third round in the NIST standardization is a scheme based on regular NTRU[6]. We also note that the only NTRU encryption scheme mentioned as a potential candidate for standardization by ETSI [20] is also the standard NTRU scheme, despite the issues it has already experienced.

4.1.3 LWE

Several schemes based on LWE exist, starting with the foundational work of Regev [46]. His scheme had a few downsides though, such as not being very efficient, having large keys and not considering CCA security. Still, based on the ideas in Regev’s work, several pieces of follow-up work have been completed, some based on the same assumption as in his work, whereas others are based on related assumptions with more mathematical structure to get more efficient schemes. This for example includes schemes based on Ring-LWE or Module-LWE. Because of the added structure there *could* be a higher risk of attacks compared to schemes based on the plain LWE assumption. However, we note that there no round 3 NIST encryption candidate schemes remain which are based on plain LWE, or Ring-LWE. The only remaining LWE-based schemes are Kyber [10][7] and SABER[8], which are both based on Module-LWE.

Of these two schemes we recommend Kyber which has specifically been designed to withstand timing attacks. The keys are a bit on the large side (order of kilobytes), but both encryption, decryption and key generation are very efficient. The scheme is CCA secure and can also be used for key exchange. It furthermore has the advantage of having a signature variant as well [16][9], which also made it to round 3 of the NIST standardization. This makes it possible to use the same overall scheme for all one’s asymmetric needs. However we curiously note that the only schemes based LWE that have been mentioned by ETSI are based on *possibly* less secure Ring-LWE assumptions. Still, ETSI has put emphasis on ease of implementation and efficiency in their list and we believe that is the reason no schemes based on Module-LWE or regular LWE have been included.

Pros:

- Efficient encryption, decryption, and key generation.
- CCA secure.
- Designed to withstand timing attacks.
- Limited “dangerous” lattice structures, and thus attack surface.

Cons:

- Slightly large keys (on the order of kilobytes).
- Relatively new scheme, which have not yet received too much scrutiny.
- Not reducible to the *most* general lattice problems.

4.1.4 Conclusion

Of the schemes we have presented, Kyber is probably the most desirable overall, as it currently *seems* to give the best security guarantees, without asking for too much of a compromise on efficiency and sizes. On the other hand, if speed is of the utmost importance, then NTRU is an option as well.

[6] https://ntru.org
[7] https://pq-crystals.org/kyber/index.shtml
[8] https://www.esat.kuleuven.be/cosic/pqcrypto/saber/
[9] https://pq-crystals.org/dilithium/index.shtml

Regarding schemes based on other assumptions; the McEliece/Niederreiter schemes are the only desirable alternatives if one does not wish to use lattices, or is working on a computationally constrained device.

4.2 Signatures

Several different approaches to achieving quantum secure signature schemes exist. Like for encryption, one of these is lattices. Another is multivariate quadratic equations that use special structures between several quadratic polynomials. Finally, one can make schemes based on a regular hash function, using a tree of hash digests.

As is the case for encryption, each of these have their strengths and weaknesses and so there is no single scheme which is best in all situations. Thus, one must make compromises when choosing, keeping the requirements of one's context in mind.

4.2.1 Multivariate-quadratic-equations

Multivariate quadratic equation-based signature schemes are based on the multivariate quadratic polynomial problem, which involves finding a vector of n values s.t. when they are plugged into each of m quadratic polynomials of n variables, they all evaluate to 0. This is believed to be hard in the average case as well as the worst case, but there is currently no worst case to average case reduction. Furthermore, the security of schemes based on this problem does not reduce to this problem itself, but rather a related problem. Thus, the security reductions are not as desirable as the kind used for lattices.[10]

Many variants of these schemes exist, but so do a lot of attacks as well. The first scheme was the C* scheme, which was introduced in 1988 by Matsumoto and Imai [35]. However, the C* scheme was broken already back in 1995 [43] and the initial version of another scheme, called *Oil and Vinegar*, was broken in 1999 [29]. However a repaired version was introduced later [28], at the price of larger signature. A newer scheme called Rainbow [14] addresses the issue of the larger signatures by adding more mathematical structure. In fact Rainbow is the only scheme based on multivariate quadratic equations that made it to round 3 of the NIST standardizations[11] and is also mentioned as a potential standardization candidate by ETSI [20]. However, it does not have any underlying security reduction and has experienced a series of attacks on the underlying structure [9, 15] that have required increasing its parameters. Furthermore, it recently experienced a side-channel attack that can completely break it in certain situations [42].

However, we do note that other types of schemes that do not use the Oil and Vinegar approach do exist, which are not broken with appropriate choice of parameters. One such family of schemes is called HFEv-. In particular one HFEv- scheme called GeMSS, stands out as it made it to the second round of NIST standardization [12]. It is based upon two other multivariate quadratic equation schemes [45, 44] which are also mentioned by ETSI [20].

Pros:

- Efficient key generation, signing and verification.
- Can be implemented using simple operations.
- Small signatures.

Cons:

- Large public and private keys (up to several hundred kilobytes).
- Many of the older schemes have been broken.
- No worst case reduction to a standard assumption.
- Several security attacks.

[10] We note that the lattice problems and the multivariate quadratic equation problems are different and thus it might be the case that lattice constructions get completely broken, yet that multivariate quadratic equation-based schemes remain secure.

[11] https://www.pqcrainbow.org

[12] https://www-polsys.lip6.fr/Links/NIST/GeMSS.html

Due to the extensive history of attacks on these types of systems, we recommend only considering a multivariate quadratic equation scheme if it is essential to have it implemented in an instruction-reduced setting where very small signatures are required.

4.2.2 Hash-based

A hash-based signature scheme was first introduced by Lamport in 1975. His scheme is what is known as a *one-time-signature* scheme, meaning that a public/private key pair can only be used for a *single* signature. This is obviously quite inefficient, since we generally want to be able to sign more than a single message per key. However, optimizations exist, for example using a tree-structure known as a Merkle tree [38] which allows one to sign N messages using a public key of a similar size as in the Lamport scheme. However, each signature contains a fresh Lamport signature scheme in itself, meaning that the private key has size around N times that of the standard Lamport scheme. The essence of the Merkle scheme is thus that it allows one to set up a public key, which can be used for many one-time signatures. Several pieces of follow-up work have been done, culminating in the XMSS-MT scheme [26]. This scheme manages to limit the amount of storage needed, both for the public key and private key, along with improving the time it takes to sign and verify to within a few milliseconds. Unfortunately, generating the keys for the system still takes a significant amount of time (on the order of seconds or minutes for schemes allowing up to a million signatures).

It should be noted that a version of XMSS(-MT) has been standardized at the Internet Engineering Task Force (IETF)[13]. Furthermore, the scheme has the nice property (unlike most current widely used signature schemes) that it is *forward secret*. This means that if the private key/state gets compromised, previous signatures remains valid. This comes in effect since the signatures are one-time from an ordered list, thus it is possible to publish the point on the list where the compromise happened and simply reject all signatures after that point. Still, this scheme requires holding a state and thus might not be suitable for all use-cases. For this reason we note that another XMSS-based, state-less scheme, SPHINCS+, based on the work by Bernstein *et al.* [7] made it to the second round of the NIST post-quantum standardization procedure[14], but did not make it to the third round. However it is also one of the schemes suggested for standardization by ETSI [20].

Besides being state-less, this scheme also has much faster key generations than XMSS-MT, although it gives away with forward secrecy. Still, from a security perspective both schemes are highly desirable as they reduce to common and well-understood assumptions on hash functions, but from a usability point of view, SPHINCS+ is probably the best candidate.

Pros:

- Fast verification.
- Small keys.
- Based on standard and widely accepted assumptions on hash functions.

Cons:

- Long key generation and signing time (up to seconds).
- Somewhat large signature (order of tens of kilobytes).

4.2.3 Lattices

Like for encryption, many different lattice-based signature schemes exist, relying on assumptions such as LWE, Ring-LWE, Module-LWE, and NTRU. Even more than that, there are different families of "styles" such as the *hash-and-sign* family or the *Fiat-Shamir* family.

Hash-and-Sign The overall idea of hash-and-sign, in the setting of lattices, is that to sign a message, one hashes it to a value, interpreted as some place in a lattice, and the signature is then a nearby point. The idea was introduced in the GPV scheme [21]; a scheme which enjoys worst case reductions.

[13] https://tools.ietf.org/html/rfc8391
[14] https://sphincs.org/resources.html

Unfortunately, the scheme was not too efficient, neither in key sizes, nor signing and verification time. Thus, much research based on this paradigm has been carried out since then, culminating in Falcon[15]. This scheme uses the type of lattices from NTRU (to get efficiency and succinctness), though. Thus the assumption is an NTRU-based assumption and hence Falcon inherits the potential security downsides such schemes have. Furthermore, Falcon needs sampling from a normal distribution which, like for LWE-based schemes, this *does* create a vulnerability to timing attacks if a non-constant time implementation and algorithm is used for this. In fact, timing attacks and other devastating side-channel attacks have been implemented for Falcon [36], although they are easy and pretty efficient to mitigate.

Still, Falcon has made it to the third round in the NIST post-quantum standardization process, and the scheme on which it is based [17] is also part of ETSI's suggestions [20].

Pro

- Fast signing, verification and key generation.
- Small keys.

Con

- Has not received too much scrutiny yet.
- No worst case reduction to standard assumption.
- Not actively designed to withstand timing attacks.

Fiat-Shamir One of the families of efficient lattice-based signatures that still has significant security credence is the protocols based on Fiat-Shamir. The overall idea is that randomness is sampled as part of the signing process, the message and the randomness is hashed, and then that is used to compute a signature. This has the caveat that the signature might be rejected if it does not fit a certain distribution. Thus care must be taken to avoid vulnerabilities to side-channel attacks.

In particular two significant schemes exist in this family; TESLA [4] and Dilithium [16]. TESLA is based either on LWE or Ring-LWE [1]. Dilithium on the other hand is based on Module-LWE [33]. However, Dilithium is specifically designed to not be vulnerable to timing attacks. Furthermore, neither of these approaches use sampling from the integer normal distribution as part of the signing process. This may make them less likely to suffer timing attacks than those schemes who do, such as the hash-and-sign approaches. We note that versions of both TESLA (Ring-LWE based qTESLA [3]) [16] and Dilithium[17] has made it to the second round of the NIST post-quantum standardization. However, only Dilithium made it to the third round, most likely due to certain security risks of qTESLA. Both the underlying scheme which Dilithium is based on [23] and the one which qTESLA is based on [34] are discussed as potential schemes for standardization by ETSI [20].

Pros:

- Fast signing, verification and key generation.

Cons:

- Somewhat large public keys (order of a few kilobytes).
- Has not received much scrutiny yet.

4.2.4 Conclusion

The overall best choice seems to be the Fiat-Shamir, Module-LWE based Dilithium as it has a strong focus on security and avoiding keeping potential avenues open for attack, while still allowing good efficient. Alternatively the hash-based XMSS-MT or SPHINCS+ schemes appear to be a good candidate as well, if large signatures and slow signing is acceptable.

[15] https://falcon-sign.info
[16] https://qtesla.org
[17] https://pq-crystals.org

4.3 Key Exchange

Currently most key exchange protocols are either based on non-quantum secure public key encryption schemes or Diffie-Hellman, these will be rendered insecure against a quantum computer. However, any post-quantum public key encryption scheme can be used to carry out a (non-authenticated) key exchange. With certificates based on post-quantum digital signatures it is then possible to do post-quantum authenticated key exchange using similar approaches in the classical setting e.g. TLS. Such a standard transformation however, does not yield the desirable feature of *forward secrecy* which is otherwise achieve using classical Diffie-Hellman. In the setting of key exchange, forward secrecy ensures that even if the private key used for the key exchange gets compromised, this does not mean the sessions keys, constructed based on the private key, also gets compromised. However, it is possible to achieve forward secrecy by simply constructing a new key pair for public key encryption for every session. Because of this, it is necessary to use a public key encryption scheme that has very efficient generation. Concretely, based on our previous recommendations, this means Kyber, where key generation is below 1 ms on a modern CPU. The same applies for the related scheme Saber. McEliece is completely unsuited given that it takes upwards of half a second for key generation. NTRU could be used, but takes in the order of tens of milliseconds for key generation.

5 Conclusion

Before reaching any conclusions we should make the reader aware of the fact the schemes listed here is not an exhaustive. This is both in regards to concrete schemes and families of schemes. We have tried to limit the list based on the popularity of the schemes, their efficiency, the reputation of their authors, their provable features, the amount of research that has been carried out on their underlying assumptions but mostly how they fair in regards to standardization. We encourage the interested reader to take a look at the list of schemes that are being considered for standardization at NIST https://csrc.nist.gov/projects/post-quantum-cryptography and the documents considering possible schemes for standardization by ETSI https://www.etsi.org/technologies/quantum-safe-cryptography.

5.1 Advanced Cryptography

There are still several cryptographic primitives we have not covered in this survey. This includes things like zero-knowledge, commitments and secure multi-party computation (MPC). However, active research is still happening in these areas to ensure that they can also be used in a post-quantum world. Fortunately, for some of these we get quantum security for free, as MPC for example can be based purely on information theoretic primitives, which are not vulnerable to quantum computers. Others, such as commitments, can be based on symmetric primitives where we only need to pay a small price to ensure quantum security. However, many protocols still rely on the hardness of the Diffie-Hellman assumption, or RSA. Thus, care must be taken and research must be done if we want to use these systems in a quantum world.

5.2 Advice

As we have seen, most schemes that cannot be fixed by extending key sizes a little bit can be based on lattice assumptions. Since the study of the (quantum) hardness of these problems only became *really* interesting once they were used in cryptographic schemes, it is sensible to act with a certain wariness on these assumptions. In particular when using schemes based on assumptions that have not been of independent mathematical interest previously, and assumptions that add more structure to objects in order to optimize performance. Like in many paths of life, keeping things simple is often the best option, this is also true for the mathematical structures used in cryptography. Using old, tried and true concepts often also yield the safest results (assuming of course the old concepts are not broken).

Furthermore, in regard to cryptography, it *does* in fact make sense to put all your eggs in one basket as the weakest link will almost always break the chain. What is meant by this is that one should ideally pick a suite of schemes based on the *same* underlying assumption, rather than picking schemes based on distinct assumptions. An example could be using the Kyber and Delithium family for encryption, signatures and key exchange.

However if one wish to rely on the conjugation of security assumptions it is possible to combine different schemes in such a way that an adversary must break all the cryptographic assumptions used. For example, Kyber could be used to encrypt a RSA ciphertext; thus an adversary would have to both break Kyber *and* RSA in order to learn the message. The same can be done for signature schemes by requiring valid signatures of two distinct algorithms. Even for key exchange, two different key exchange algorithms can be carried out one after the other, and the XOR of the keys learned in both schemes can then be used for further communication.

A very concrete advice to keep in mind when looking at non-standardized, non-production-grade implemented crypto schemes is to be extremely cautious of side-channel attacks. In the case of lattice crypto, especially timing attacks. It is crucial to use an implementation where care has been taken to ensure constant time execution. Ideally, this should go as far as protecting against leakage resulting from different accesses in the memory hierarchy.

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